



## YOUR QUESTIONS ANSWERED

We appreciate your interest in our services. To answer some frequently asked questions, please see the information we have provided below.

### 1. What is Beyond Investing and what services do you provide?

Beyond Investing is a vegan investment platform which provides investment vehicles that address the needs of vegans, animal lovers and environmentalists who wish to invest according to their values.

Beyond Investing has created broad market indexes which are screened for vegan and environmental principles, which we have registered under the name of Vegan Climate Index®.

These indexes form the basis of a range of cruelty-free investment products being launched in conjunction with third parties.

To date Beyond Investing has launched the US Vegan Climate Index® and the Europe Vegan Climate Index®.

The US Vegan Climate Index® is a passive, rules-based index of U.S. mainly large cap stocks, screened according to vegan and climate-conscious principles. which has been calculated live since June 2018 and whose price is published under the ticker VEGAN as well as on the Solactive website.

The Europe Vegan Climate Index® is a passive, rules-based index of European large and midcap stocks, screened according to vegan and climate-conscious principles. which has been calculated live since June 2021 and whose price is published under the ticker VEGANE as well as on the Solactive website.

Beyond Investing has also developed a growth-oriented index composed of small to midcap listed companies whose products contribute to a vegan world, it is called the Vegan World Index®.

Beyond Impact, a member of the Beyond Investing group of companies, provides dedicated venture capital funds and co-investments in start-ups and early stage companies creating vegan, plant-based and cruelty-free products and services.

To register on our mailing list to receive updates on our products and services, please visit [bit.ly/veganinvest](https://bit.ly/veganinvest) and complete the sign-up form.

**Beyond Investing does not directly manage discretionary or advisory accounts for individuals, nor do we provide general investment advice.**

## 2. What investment products are based on your indexes?

Beyond Investing launched the US Vegan Climate ETF (Exchange Traded Fund) on 10<sup>th</sup> September 2019.

The ETF is listed on the New York Stock Exchange with the ticker VEGN and traded throughout US market hours. It tracks our US Vegan Climate Index®.

A Certificate based on the US Vegan Climate Index was launched in collaboration with Dynamic Capital Group in January 2021.

A Certificate based on the Vegan World Index was launched in collaboration with Leonteq Securities in June 2021.

Venture capital funds advised by Beyond Impact are available to US accredited investors and qualified investors in other countries. Please use the contact form at [www.beyondimpact.vc](http://www.beyondimpact.vc) for more information.

## 3. What is the minimum investment in the VEGN ETF?

The minimum investment in the ETF is one share. The price of a single share in VEGN is published continually throughout every US trading day with a 15-minute delay on [www.veganetf.com](http://www.veganetf.com)

## 4. How do I buy the US Vegan Climate ETF (VEGN)?

VEGN is a listed instrument, so if you have a brokerage account you should be able to find it along with other US-listed ETFs on your online brokerage platform. Alternatively, you could contact your financial adviser or bank with an instruction to invest in VEGN.

## 5. Can investors outside of the US trade VEGN?

There are some restrictions in the EU, where the Packaged Retail and Insurance-based Investment Products (PRIIPs) regulations in the EU have caused online brokers in EU-countries to remove all US ETFs from their platforms. PRIIPs rules require a Key Investor Information Document (KIID) which contains certain information that is not permitted by the US regulator FINRA. Without this information being made available, an investment product cannot be offered to retail investors in the EU. Hence, in Europe only professional investors may now directly purchase US ETFs.

We are not aware of such restrictions in place in any other country. However, not all brokerage platforms in other countries permit access to VEGN ETF for logistical reasons.

The US Vegan Climate Index Certificate issued by Dynamic Capital Group provides access to the same exposure as VEGN and can be purchased by European investors.

To purchase the US Vegan Climate Index Certificate please ask your bank or broker to contact Dynamic Capital Group direct at [info@dynamiccapitalgroup.com](mailto:info@dynamiccapitalgroup.com)

To purchase the Vegan World Index Certificate please ask your bank or broker to contact Leonteq Securities at [secondarymarket@leonteq.com](mailto:secondarymarket@leonteq.com)

## 6. Can VEGN be put into my 401K, Roth IRA, or other form of pension product?

Each individual's pension plan is subject to different rules on approved investments and you should investigate with your pension provider if they will accept an investment into VEGN. If they require specific information about VEGN to authorise your investment, please have them contact us at [info@beyondinvesting.com](mailto:info@beyondinvesting.com)

## 7. I have an Investment Advisor who does not provide access to VEGN, what can I do?

Should you have difficulties purchasing VEGN through your current investment adviser, please request that they get in touch with us at [info@beyondfundinvesting.com](mailto:info@beyondfundinvesting.com) to organise for VEGN to be added to their approved list of investments.

8. I use a roboadvisor, how do I get VEGN added to their list of available funds?

Please have them contact us at [info@beyondinvesting.com](mailto:info@beyondinvesting.com) and we will be happy to provide them with any information they require to incorporate VEGN in their trading programs.

Should the above information not answer your specific question, please reply to this message and a member of our staff will be back to you shortly.

#### Important Information

Any tax or legal information provided isn't an exhaustive interpretation of some of the current income tax regulations. Investors must consult their tax advisor or legal counsel for advice and information concerning their particular situation. Neither the Fund nor any of its representatives may give legal or tax advice. It is not possible to invest directly in an index.

**Investing involves risk, including the possible loss of principal. The Fund is a recently organized management investment company with no operating history. As a result, prospective investors have no track record or history on which to base their investment decision. Shares of any ETF are bought and sold at market price (not NAV), may trade at a discount or premium to NAV and are not individually redeemed from the Fund. Brokerage commissions will reduce returns. Investments in mid-cap securities involve additional risk such as limited liquidity and greater volatility. The index methodology may cause the Fund to underperform the broader equity market or other funds which do not utilize such criteria. The Fund's return may not match or achieve a high degree of correlation with the return of the underlying Index. To the extent the Fund utilizes a representative sampling approach, it may experience tracking error to a greater extent than if the Fund had sought to replicate the Index.**

*The fund's investment objectives, risks, charges and expenses must be considered carefully before investing. The prospectus contains this and other important information about the investment company, and it may be obtained by calling 1-800-617-0004 or visiting [www.veganetf.com](http://www.veganetf.com). Read it carefully before investing.*

Beyond Investing LLC is the adviser to the US Vegan Climate ETF. VEGN is distributed by Quasar Distributors, LLC

The US Vegan Climate Index (VEGAN), developed by Beyond Investing, is a passive, rules-based index of U.S. mainly large cap stocks, screened according to vegan and climate-conscious principles.

VEGAN excludes companies engaged in animal exploitation, defense, human rights abuses, fossil fuels extraction and energy production, and other environmentally damaging activities. VEGAN includes mid cap stocks that replace companies in sectors that become underweight through their exclusions.

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